

Transport for London Audit and Assurance Committee

3 Lines (3LoD) Assurance Lookahead

Appendix 2

Period	LoD1 Events	LoD2 - PPA	LoD3 - TFL (IA)	LoD3 – IIPAG-EL	Senior Advisors
5	Trial Running in progress	Periodic Assurance Review P5 Cost Forecasting Review TAR 28 DCS 1.2 Assurance Review	(Quarterly based planning) 21 049 Employer's Completion Process To provide assurance that the controls around the Employer's Completion Process are effective	DCS (Delivery Control Schedule) and periodic reporting (TBC) Digital Assets and Systems Configuration Report	CG1 - Oversight of RfL/MTR ability to introduce passenger services in a robust and timely way from Trial Operations to Stage 5B and 5C.
6	Trial Running in progress	Periodic Assurance Review P6 Stations Systems Integration TAR26 Cost Pressures and Root Cause Review TAR 27	21 051 Information Management and Transfer To review the effectiveness of controls around information management and transfer from Crossrail to TfL	DCS (Delivery Control Schedule) Operational Readiness	PG1 - TO Configuration and eiTO Criteria, Auto Reverse, Assurance Programme for eiTO. Tracking TVS Testing Programme PT1 - Monitoring of Siemens ELR100 Development Assisting in the oversight of the transition of the engineering resources and processes from Crossrail to RfL.
7	Stations SC3 Trial Running	Periodic Assurance Review P7 Trial Running Progress Review TAR29	21 061 Demobilisation of Tier 1 Contractors -phase 2 To provide assurance that the controls around Tier 1 contractor demobilisation are adequate and effective	DCS (Delivery Control Schedule) and periodic reporting	AP1 – Rolling Stock and Operational Readiness PS1 – C660 and Stations Systems, RfLI Organisational Readiness
8		Periodic Assurance Review P8 Trial Operations Readiness Review DCS 1.2 Assurance Review and Reporting	21 062 Disposal of Temporary Assets To provide assurance that the controls around the disposal of temporary assets are adequate and effective	DCS (Delivery Control Schedule) and Assurance Report	