

Audit and Assurance Committee Actions List (to be reported to the meeting on 15 September 2021)

Actions from the meeting held on 7 June 2021

Minute No.	Item/Description	Action By	Target Date	Status/Note
28/06/21	<p>Matters Arising, Actions List and Use of Delegated Authority EY to confirm if any additional points needed to be considered in the final EY report on the Silvertown Tunnel project.</p>	Karl Havers	15 September 2021 meeting	No additional issues identified by EY. Complete.
34/06/21	<p>Risk and Assurance Quarter 4 Report 2020/21 Risk interconnectivity to be presented to a future meeting, including providing an understanding of effects of risk scenario planning to underpin this work.</p>	Nico Lategan	15 September 2021 meeting	Update included in Risk and Assurance Quarterly report on agenda. Complete.
35/06/21	<p>Risk and Assurance Annual Report 2020/21 An evaluation of the increase in poorly controlled and requires improvement audit ratings to be carried out and an analysis of any emerging themes reported back to a future meeting, including any trends in high priority recommendations.</p>	Lorraine Humphrey	15 September 2021 meeting	Update included in Risk and Assurance Quarterly report on agenda. Complete.
40/06/21	<p>Legal Compliance Report (1 October 2020 – 31 March 2021) Further details to be provided as to the Health and Safety Executive investigation referred to in paragraph 5.3 of the report.</p>	Howard Carter	Following the meeting	Information circulated. Complete.

Actions from previous meetings:

Minute No.	Item/Description	Action By	Target Date	Status/Note
09/03/21	<p>Integrated Assurance Plan 2021/22 Staff to consider how best assurance work on Enterprise Risk 14 (The Opening of the Elizabeth Line) could be integrated as part of the transition phase of Crossrail into TfL.</p>	Rachel McLean/ Mushtaq Ali	15 September 2021 meeting	Update provided in the Risk and Assurance and Elizabeth line quarterly reports on agenda. Complete.
14/03/21	<p>Finance and Business Services – End to End Processes A visit to be arranged for the Chair to meet the staff and see the work in progress, when appropriate.</p> <p>Once the Transformation programme was complete, staff to consider how best to report back on metric measurements.</p>	Andy Ferrar	When appropriate	Pending.
	Once the Transformation programme was complete, staff to consider how best to report back on metric measurements.	Andy Ferrar/ Maureen Jackson	15 September 2021 meeting	Update provided in Finance Control Environment Trend Indicators report on agenda. Complete.