
This paper will be considered in public

1 Summary

- 1.1 This paper provides an update on the project assurance work undertaken between 27 June and 18 September 2021. Four programme reviews were undertaken during this time, with the Independent Investment Programme Advisory Group (IIPAG) involved in all four reviews. In the same time frame 14 project assurance reviews were undertaken, with IIPAG involved in three of these.
- 1.2 These reviews gave rise to a total of 69 recommendations being made, of which six were considered to be critical issues. Critical issues are those that the TfL Project Assurance team or IIPAG believe should be addressed before projects proceed to the next stage.
- 1.3 A paper is included on Part 2 of the agenda which contains exempt supplemental information that is exempt from publication by virtue of paragraph 3 of Schedule 12A of the Local Government Act 1972 in that it contains information relating to the business affairs of TfL. Any discussion of that exempt information must take place after the press and public have been excluded from this meeting.

2 Recommendation

- 2.1 **The Committee is asked to note the paper and the exempt supplemental information on Part 2 of the agenda.**

3 Background

- 3.1 The TfL Project Assurance update provides the Committee with a summary of the second line assurance, provided by TfL's Project Assurance team, and third line assurance, provided by IIPAG.

4 Summary of Project Assurance Activity

- 4.1 From the reviews undertaken, a total of 61 recommendations were made by TfL Project Assurance. These consisted of 55 general recommendations and six critical issues, with all these having been agreed with the respective project teams. Appendix 1 shows the critical issues that were raised.
- 4.2 IIPAG made eight general recommendations with these agreed with the respective project teams.
- 4.3 Appendix 1 also lists three critical issues raised following the review of Rotherhithe Tunnel, one raised by Project Assurance and two by IIPAG. This review was undertaken in Quarter 1 of 2021/22 and there have been ongoing discussions on the management responses to the critical issues raised. These discussions have now

been concluded and the agreed management responses are included in this appendix.

4.4 The following graphs show the number of open recommendations, the number that are overdue, and the number closed in the last three quarters. The overdue recommendations include four overdue critical issues. Two of these relate to the General Data Protection Regulation Project and require that there is a fully validated cost estimate and that the business case demonstrates value for money. One critical issue relates to Barking Riverside Extension and the project team being under-resourced; and the fourth overdue critical issue relates to the Central line Signalling and Control Project and the need to ensure that the business case demonstrates value for money for all elements of the scope. There are no overdue IIPAG critical issues.

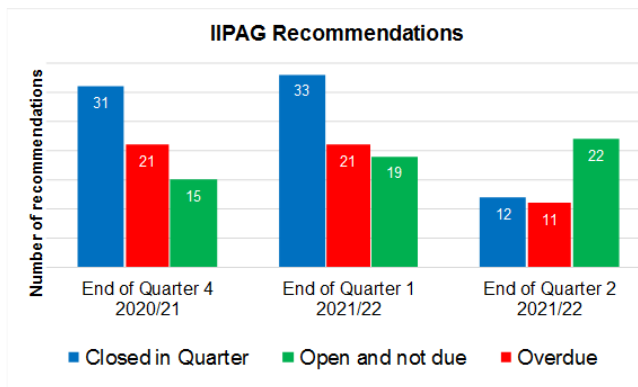


Figure 1: IIPAG Recommendations

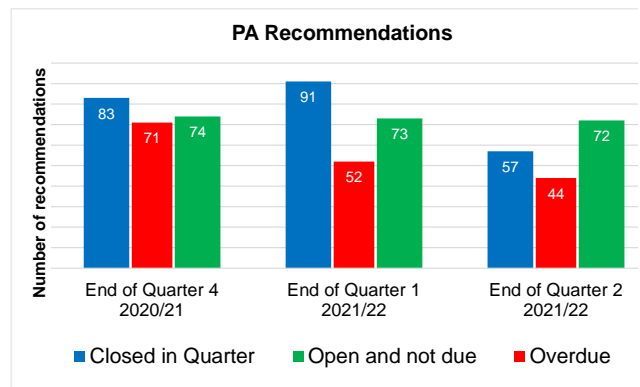


Figure 2: Project Assurance Recommendations

List of appendices to this paper:

Appendix 1: New Critical Recommendations

A paper containing exempt supplemental information is included on Part 2 of the agenda.

List of background papers:

None

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