

Elizabeth Line Committee

Internal Audit reports issued in Q2 2021/22

Appendix I

- There were three reports issued during the quarter

| Enterprise Risk | Directorate | Ref | Audit title | Summary of Finding | Conclusion | H | M | L |
|------------------------------------|-------------|--------|---|--|-----------------------|---|---|---|
| ERI4 Opening of the Elizabeth Line | Crossrail | 20 504 | Alternative Delivery Model Strategy | There was one high priority issue which was around a lack of management oversight of any issues which may arise in the delivery of the strategy. | Requires Improvement | 1 | 1 | 0 |
| ERI4 Opening of the Elizabeth Line | Crossrail | 20 511 | Monitoring Professional Service and Framework Development Consultants | There was one medium and three low priority issues. The medium issue was around inconsistent completion of Works Orders. | Adequately Controlled | 0 | 1 | 3 |
| ERI4 Opening of the Elizabeth Line | Crossrail | 20 502 | Management of works deferred to LU | The audit identified two high, two medium and one low priority issue. The high priority findings are as follows: 1) Crossrail had not confirmed and communicated the full scope and programme of planned works to be transferred to the Residual Works Team (RWT); 2) the RWT sponsorship team does not have an equivalent representative at Crossrail to liaise with. | Requires Improvement | 2 | 3 | 1 |