

## Transport for London Audit and Assurance Committee

### Changes to the 2021/22 audit plan

### Appendix 5

- There were 14 changes to the plan since the last Committee: 4 new, 6 cancellations and 4 deferral

Ref	Audit Title	Status	Audit Comments
21 063	Conflicts of Interest	New	Provide assurance of the adequacy and effectiveness of controls around conflicts of interest.
21 064	Additional Dedicated Home to School and College Transport Funding (Part 2)	New	Provide assurance that conditions attached to the Additional Dedicated Home to School and College Section 31 Grant S31/5137 have been complied with.
21 065	Tenant Billing Lessons	New	Provide assurance over lessons learned on the tenant billing and rent collection processes and procurement.
21 066	Climate Adaptation – roles, responsibilities and knowledge	New	To provide assurance that roles and responsibilities around Climate Adaptation are clearly allocated and understood.
21 006	Call it Out campaign	Deferred	Confirmed with Head of Counter-Fraud and Corruption to defer this review as it is not ready for an audit due to known process issues that need rectifying. Will revisit this review as part of annual audit planning for 2022/23.
21 009	Movers and Leavers		Following plan re-prioritisation as a result of resource constraints, this is being deferred. External audit already review aspects of this annually. Will revisit this review as part of annual audit planning for 2022/23.
21 021	London Highways Alliance Contract (LOHAC) Strategy		Due to reprioritisation as a result of resource constraints this audit will be deferred to the 2022/23 plan.
21 033	Record to Account		Following plan re-prioritisation as a result of resource constraints, this is being deferred. It was initially a medium priority. Will revisit this review as part of annual audit planning for 2022/23.
21 022	Payments to contractors	Cancelled	Director of Business Services confirmed that this area will be covered as part of the Procurement Improvement Programme (PIP).
21 002	Carbon Reduction Strategy (including climate adaptation)		This audit is cancelled after discussions with the Chief Safety, Health and Environment Officer and to defer for 12-18 months as the focus is currently on adaptation.
21 020	Software Development of the Contactless Payments System		This audit was cancelled due to the Auditor that was undertaking this review leaving. It was not possible to reschedule for later in the year due to no availability in the business to support. Will be considered for 2022/23 plan.
21 035	Use of Consultants		Following plan re-prioritisation as a result of resource constraints, this is being cancelled. Elements of this will be covered within the PIP phase 2 review. Will revisit a specific review of this as part of annual audit planning for 2022/23.

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Ref	Audit Title	Status	Audit Comments
21 040	Transformation	Cancelled	Following plan re-prioritisation as a result of resource constraints, this is being cancelled as area still has some work to do. Will revisit this review as part of annual audit planning for 2022/23.
21 054	Transition of Crossrail people		This audit scope will be incorporated into the Organisation Effectiveness audit (21503) and therefore is cancelled as a separate audit.