

## Audit and Assurance Committee

### Internal Audit reports issued in Q2 2021/22

### Appendix 1

- There were three reports issued during the quarter

Enterprise Risk	Directorate	Ref	Audit title	Summary of Finding	Conclusion	H	M	L
<b>ER14 Opening of the Elizabeth Line</b>	Crossrail	20 504	Alternative Delivery Model Strategy	There was one high priority issue which was around a lack of management oversight of any issues which may arise in the delivery of the strategy.	Requires Improvement	1	1	0
<b>ER14 Opening of the Elizabeth Line</b>	Crossrail	20 511	Monitoring Professional Service and Framework Development Consultants	There was one medium and three low priority issues. The medium issue was around inconsistent completion of Works Orders.	Adequately Controlled	0	1	3
<b>ER14 Opening of the Elizabeth Line</b>	Crossrail	20 502	Management of works deferred to LU	The audit identified two high, two medium and one low priority issue. The high priority findings are as follows: 1) Crossrail had not confirmed and communicated the full scope and programme of planned works to be transferred to the Residual Works Team (RWT); 2) the RWT sponsorship team does not have an equivalent representative at Crossrail to liaise with.	Requires Improvement	2	3	1