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## This paper will be considered in public

### 1 Summary

- 1.1 This paper provides an update on the project assurance work undertaken between 19 September and 8 November 2021. Two programme reviews were undertaken during this time, with the Independent Investment Programme Advisory Group (IIPAG) involved in both. In the same timeframe five project assurance reviews were undertaken, with IIPAG involved in two of these.
- 1.2 These reviews gave rise to a total of 27 recommendations being made, of which four were considered to be critical issues. Critical issues are those that the TfL Project Assurance team or IIPAG believe should be addressed before projects proceed to the next stage.
- 1.3 A paper is included on Part 2 of the agenda which contains exempt supplemental information that is exempt from publication by virtue of paragraph 3 of Schedule 12A of the Local Government Act 1972 in that it contains information relating to the business affairs of TfL. Any discussion of that exempt information must take place after the press and public have been excluded from this meeting.

### 2 Recommendation

- 2.1 **The Committee is asked to note the paper and the exempt supplementary information on Part 2 of the agenda.**

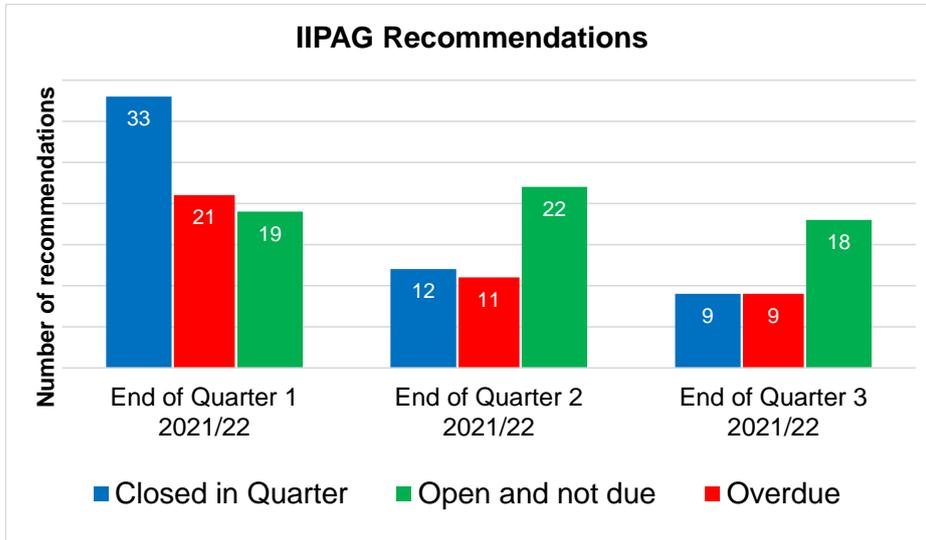
### 3 Background

- 3.1 The TfL Project Assurance update provides the Committee with a summary of the second line assurance, provided by TfL's Project Assurance team, and third line assurance, provided by IIPAG.

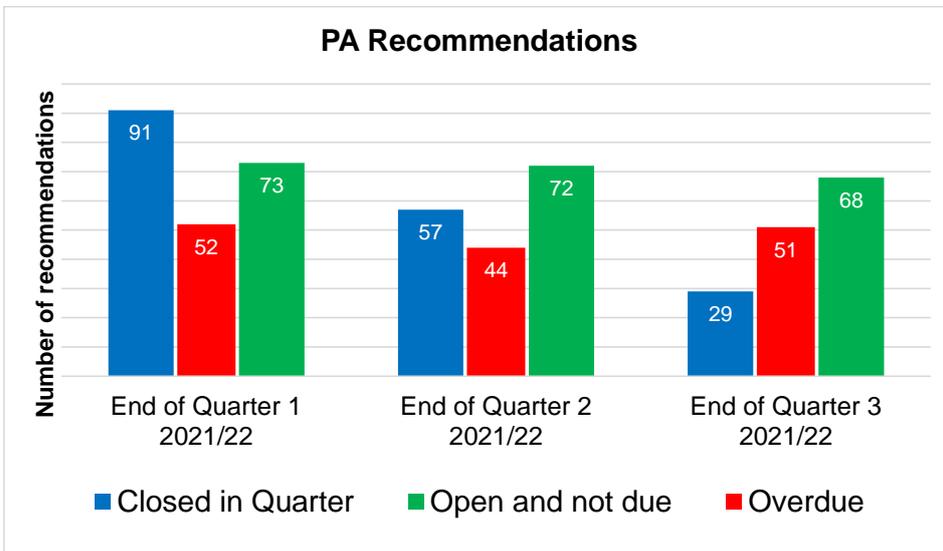
### 4 Summary of Project Assurance Activity

- 4.1 From the reviews undertaken, a total of 25 recommendations were made by TfL Project Assurance. These consisted of 21 general recommendations and four critical issues, with all these having been agreed with the respective project teams. Appendix 1 shows the critical issues that were raised.
- 4.2 IIPAG made two general recommendations with these agreed with the respective project teams.

4.3 The following graphs show the number of open recommendations, the number that are overdue, and the number closed in the last three quarters. The overdue recommendations include three overdue critical issues. One relates to the General Data Protection and Regulation Project and requires that there is a fully validated cost estimate; one is for Barking Riverside Extension and the project team being under-resourced; and one relates to the Central line Signalling and Control Life Extension Project and the need to ensure that the business case demonstrates value for money for all elements of the scope. There are no overdue IIPAG critical issues.



**Figure 1: IIPAG Recommendations**



**Figure 2: Project Assurance Recommendations**

**List of appendices to this paper:**

Appendix 1: New Critical Recommendations

Exempt supplemental information is included in a paper on Part 2 of the agenda.

**List of background papers:**

None

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