

Audit and Assurance Committee Forward Plan 2020/21 – 2022/23

Appendix 1

Membership: Mark Phillips (Chair), Anurag Gupta (Vice Chair), Cllr Julian Bell, Kay Carberry CBE and Dr Mee Ling Ng OBE

Standing Items		
Risk and Assurance Quarterly Report	Director of Risk and Assurance	Quarterly
Elizabeth Line Programme Assurance Update	Chief Finance Officer, Crossrail	Quarterly
Finance Control Environment Trend Indicators	Chief Finance Officer	Quarterly
IIPAG Quarterly Report	Head of Project Assurance	Quarterly
Register of Gifts and Hospitality	General Counsel	Quarterly

6 June 2022		
EY Report on Non-Audit Fees	Chief Finance Officer	Six Monthly
TfL Statement of Accounts	Chief Finance Officer	Annual
TfL Annual Report	Chief Customer and Strategy Officer	Annual
Review of Governance and the Annual Governance Statement	General Counsel	Annual
EY Report to Those Charged with Governance	Chief Finance Officer	Annual
EY Letter on Independence and Objectivity	Chief Finance Officer	Annual
Legal Compliance Report	General Counsel	Six Monthly
Task Force on Climate Related Financial Disclosures	Chief Finance Officer	Meeting request

21 September 2022		
TfL Statement of Accounts – Changes Made Prior to Finalisation	Chief Finance Officer	Annual
Effectiveness Review of External Auditors	Chief Finance Officer	Annual
Annual Audit Letter	Chief Finance Officer	Annual
Freedom of Information Update	General Counsel	Annual
Enterprise Risk Update - Major Security Incident (ER4) followed by informal briefing	Chief Customer and Strategy Officer	Annual

Audit and Assurance Committee Forward Planner 2020/21 – 2022/23

30 November 2022		
External Audit Plan	EY	Annual
EY Report on Non-Audit Fees	EY	Six Monthly
Annual Tax Compliance Update	Chief Finance Officer	Annual
Legal Compliance Report	General Counsel	Six Monthly

15 March 2023		
Integrated Assurance Plan	Director of Risk and Assurance	Annual
Critical Accounting Policies	Chief Finance Officer	Annual
Personal Data Disclosure to Police and Other Statutory Law Enforcement Agencies (2022)	Director of Compliance, Policing, Operations and Security	Annual