

Audit and Assurance Committee

Changes to the 2021/22 audit plan

Appendix 3

- There were six changes to the plan this quarter, four cancellations and two new audits

Ref	Audit Title	Status	Audit Comments
21 047	Management of Crossrail Residual works	Cancelled	Project Assurance are better placed to provide assurance as it becomes a regular programme. Sponsor will liaise with Project Assurance. Programme is in too early a state to gauge the effectiveness of how the programme is being managed.
21 049	Employer's completion process	Cancelled	This audit has been cancelled due to changes in risk and business priorities.
21 050	Management of Crossrail direct contracts	Cancelled	This audit has been cancelled to avoid duplication. KPMG are carrying out assurance work on the productivity and demobilisation of Bond Street and Canary Wharf, which will include elements of our scope.
21 053	Organisational Effectiveness	Cancelled	This audit has been cancelled to avoid duplication. This scope will be incorporated by LoD 2/IIPAG in a targeted review of the operational effectiveness of all parties to receive the Elizabeth line.
21 069	P&SC capacity to take on Elizabeth line procurement activities	New	To provide assurance that Procurement and Supply Chain (P&SC) have the capacity to effectively take on procurement activities for the Elizabeth line.
21 072	Scope allocation surgery process	New	To provide assurance on the adequacy of controls in place for allocating costs to outstanding works on the Elizabeth line prior to passing them across to TfL.