

**Audit and Assurance Committee Forward Plan 2022/23****Appendix 1****Membership:** Mark Phillips (Chair), Anurag Gupta (Vice Chair), Julian Bell, Kay Carberry CBE and Dr Mee Ling Ng OBE

| <b>Standing Items</b>                        |                                  |           |
|--|----------------------------------|-----------|
| Risk and Assurance Quarterly Report          | Director of Risk and Assurance   | Quarterly |
| Elizabeth Line Programme Assurance Update    | Chief Finance Officer, Crossrail | Quarterly |
| Finance Control Environment Trend Indicators | Chief Finance Officer            | Quarterly |
| IIPAG Quarterly Report                       | Head of Project Assurance        | Quarterly |
| Register of Gifts and Hospitality            | General Counsel                  | Quarterly |

| <b>21 September 2022</b>   |                                     |        |
|--|-------------------------------------|--------|
| TfL Statement of Accounts – Changes Made Prior to Finalisation                       | Chief Finance Officer               | Annual |
| Effectiveness Review of External Auditors  | Chief Finance Officer               | Annual |
| Annual Audit Letter  | Chief Finance Officer               | Annual |
| Freedom of Information Update  | General Counsel                     | Annual |
| Enterprise Risk Update - Major Security Incident (ER4) followed by informal briefing | Chief Customer and Strategy Officer | Annual |

| <b>30 November 2022</b>      |                       |             |
|------------------------------|-----------------------|-------------|
| External Audit Plan          | EY                    | Annual      |
| EY Report on Non-Audit Fees  | EY                    | Six Monthly |
| Annual Tax Compliance Update | Chief Finance Officer | Annual      |
| Legal Compliance Report      | General Counsel       | Six Monthly |

| <b>15 March 2023</b>   |   |        |
|--|---|--------|
| Integrated Assurance Plan  | Director of Risk and Assurance                            | Annual |
| Critical Accounting Policies   | Chief Finance Officer                                     | Annual |
| Personal Data Disclosure to Police and Other Statutory Law Enforcement Agencies (2022) | Director of Compliance, Policing, Operations and Security | Annual |