Appendix 2 - Quality, Safety and Security Assurance, Audit Data Audit ratings by Directorate - last 4 Quarters Audit plan 2021/22 in Q4 PC M/C 0 Crossrail 0 % Chief Operating Officer 15 19 24 Chief Customer and Strategy Officer 12 Chief Capital Officer 0 complete 110 Pan TfL 0 0 0 1 Total 7.0% 19.8% 36.0% 7.0% 30.2% 94 87 PC Poorly Controlled Requires Improvement AC Adequately Controlled 2021plan Cancelled Deferred Brought New 202ITotal WC Well Controlled forward M/C Memo/Consultancy **Overdue actions by Directorate** Audit Rating By Enterprise Risk - last 4 Quarters Chief Operating Officer 4 11 8 26 Crossrail ER01 Major health, safety or environmental 24 Finance incident or crisis Gen. Counsel ER04 Major security incident Chief People Officer Chief Customer and Strategy Officer 17 ER12 Asset condition unable to support TfL Chief Capital Officer 512 outcomes 0-30 davs Communications and Corporate Affairs 31-59 days SHE E 60-99 days 100+ days Pan TfL