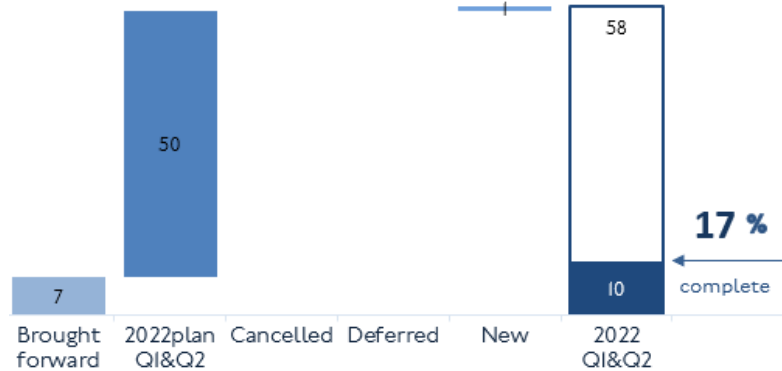


Appendix 8: Quality Safety Security Assurance Audit Q1 Summary

Six monthly Audit plan, as of Q1 2022/23



Key Highlights

Ten audits were completed in Q1 2022/23. This is slightly behind target, but is already being recovered in Q2.

Six Integrated Systems audits were completed in Q1, these are not rated. Four topic audits were completed and were all concluded as 'adequately controlled' with improvement actions where necessary.

The increasing trend of actions being closed on time has started to plateau from 68 to 40%. There has been a small increase in the number of actions granted an extension from 13-15%. The number of overdue actions has decreased from 68 to 56.

Audit ratings by Chief Officer - last 4 Quarters

	Poorly Controlled	Requires Improvement	Adequately Controlled	Well Controlled	Not Rated
Chief Capital Officer	1	2	1		
Chief Customer and Strategy Officer	1		13	1	1
Chief Operating Officer	1	12	20	3	27
Pan TFL					1
Total	3.6%	16.7%	40.5%	4.8%	34.5%

Audit Rating By Enterprise Risk – last 4 Quarters



Action Management

Open Audit Actions - Overall Tfl Performance (6-Period trend)

Measure	No.	%	6-period rolling trend	Count	Status
No. Actions Closed on time	41	40%		56	Overdue
No. Actions Extended	16	15%		105	Open

