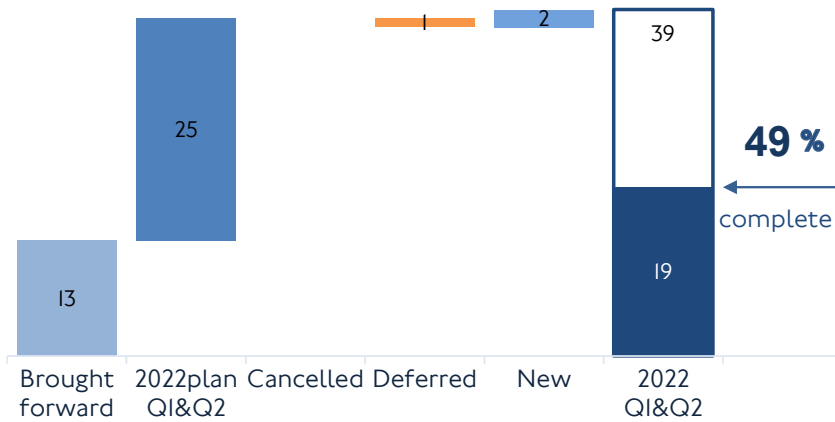


## Appendix 6: Internal Audit Q2 Summary

### Audit plan for Q1&Q2 2022/23 in Q2



At the end of Quarter 2 (Q2) we have reduced the number of carry over audits to one. The draft report for this remaining audit was issued in Quarter 3. We have delivered 49 per cent of the plan with a number of reports delivered shortly after the end of Q2. Part 2 of the plan has been agreed and allocated. Our profiling of future delivery means we are confident that we will reach our 85 per cent delivery target by year end.

The number of actions closed on time remains low, this includes those where the date has been extended once. We are actively managing the close out of recommendations and have closed out those reported here as being over 100 days during Q3. We have halved our overdue actions from 52 in Quarter 1 to 26 in Q2. The overall number of actions close in Q2 was 42.

Whilst we stress to the business that dates for completing actions need to be realistic the number of extensions remains stubbornly high. We need to ensure that the business understands that only one extension will be granted and realistic implementation dates need to be agreed.

### Reports

**10**

Issued In Q2

**39**

Issued In the Last 4 Quarters

### Audit ratings by Directorate - last four quarters

	Poorly Controlled	Requires Improvement	Adequately Controlled	Well Controlled	Memo/ Consultancy
Chief Capital Officer		2	1		
Chief Customer and Strategy Officer		5	2		4
Chief Finance Officer		3	1		3
Chief Operating Officer			1		3
Chief People Officer		1	1		
Chief SHE Officer					3
Comms & Corp. Affairs			1		
Crossrail		2			3
General Counsel		1			1
Pan Tfl		1			
<b>Total</b>	<b>0.0%</b>	<b>38.5%</b>	<b>17.9%</b>	<b>0.0%</b>	<b>43.6%</b>

### Action Management

#### Open Audit Actions - Overall Tfl Performance (6-Period trend)

Measure	No.	%	6-period rolling trend	
No. Actions Closed on time	18	19%		<b>26</b> Overdue
No. Actions Extended	43	51%		<b>166</b> Open

#### By Directorate

