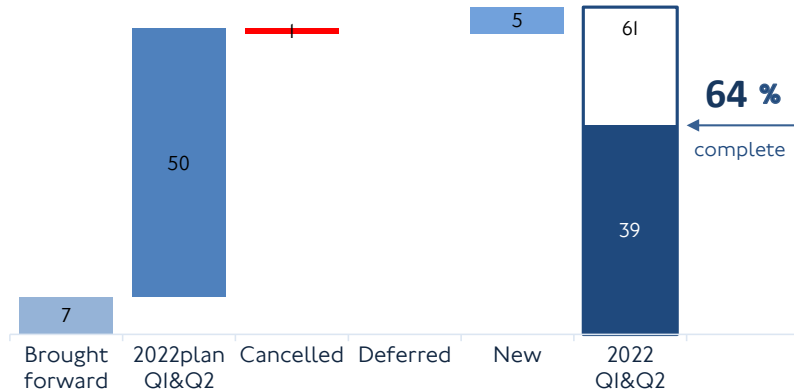


Appendix 7: Quality, Safety and Security Assurance Audit Q2 Summary

Six monthly Audit plan, as of Q2 2022/23



Key Highlights

There were 29 audits were issued in Quarter 2 (Q2), a substantial recovery of the Quarter 1 (Q1) data and we are on track for the year end target.

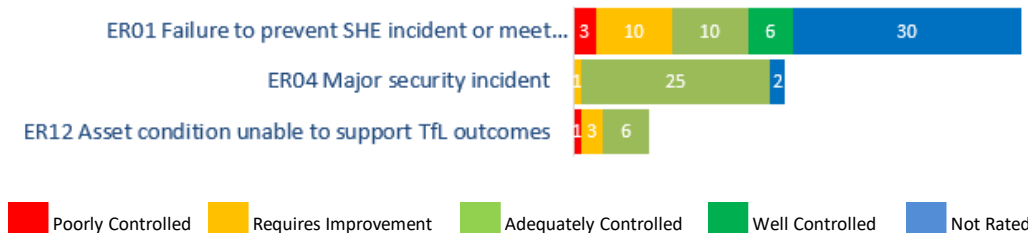
Action closure has remained consistent across Q1 and Q2 at 40 and 41 per cent, although the six month trend is down due to the large number of action closed in Quarter 4 of 2021/22 (89 actions, 64 per cent).

The number of overdue and significantly overdue actions is reducing. The number of 100+ days overdue actions has decreased from 25 to 22. 29 actions have been closed since the end of Q2, 11 of these were over 100 days overdue.

Audit ratings by Chief Officer - last four quarters

	Poorly Controlled	Requires Improvement	Adequately Controlled	Well Controlled	Not Rated
Chief Capital Officer		1	2		
Chief Customer and Strategy Officer			14	1	1
Chief Operating Officer	4	13	25	5	30
Pan Tfl					1
Total	4.1%	14.4%	42.3%	6.2%	33.0%

Audit Rating By Enterprise Risk – last four quarters



Action Management (Status as of 24/10/2022)

Open Audit Actions - Overall Tfl Performance (6-Period trend)

Measure	No.	%	6-period rolling trend	Status
No. Actions Closed on time	25	41%		45 Overdue
No. Actions Extended	10	17%		113 Open

