

# Appendix 10: Counter-fraud and Corruption Q2 Summary

## Fraud investigation

During Q2, four new cases were opened (2021/22 Q2: four new cases) and six cases were closed. The four new cases included an attempted payment diversion fraud perpetrated by an email compromise through an existing TfL supplier, and an allegation that a TfL employee is running an undisclosed business whilst on long-term sick leave. Six financial investigations were conducted involving eight subjects and 11 bank accounts. Two Suspicious Activity Report checks were undertaken.

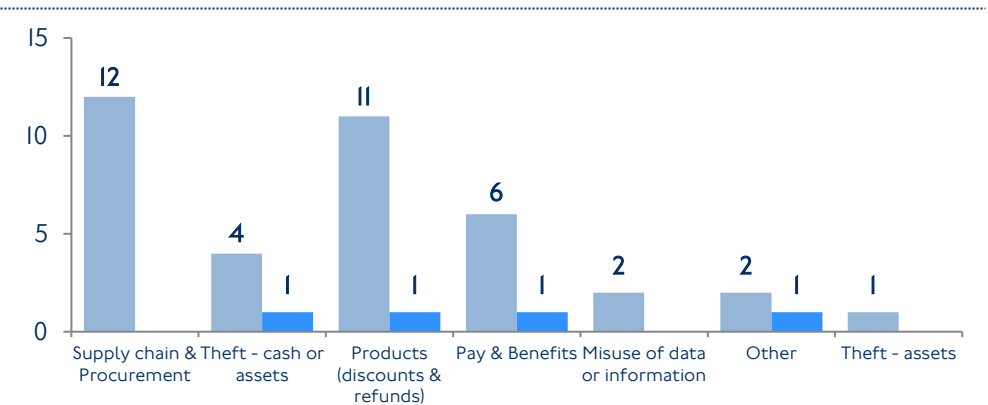
## Fraud prevention

- Members of the CFC team attended the fourth UK Rail Industry fraud forum, consisting of fraud specialists and representatives from across the rail industry and train operating companies (TOC's). The group discussed a range of topics including the setting up of a 'UK Rail Counter-fraud Executive Committee' consisting of senior counter-fraud specialists from across the industry. The Committee will lead on pushing for action to prevent and reduce fraud across the industry and engaging with the Department for Transport.

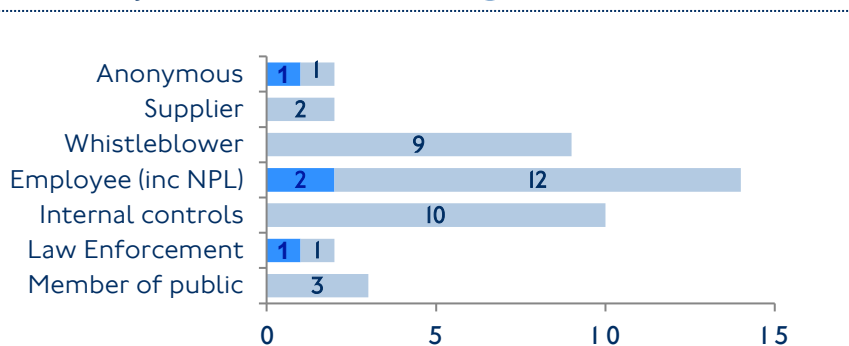
## Cases by Chief Office

Investigations	B/F	New	Closed	C/F
Operations	25	2	3	24
Customer & Strategy	8	0	3	5
People	1	0	0	1
General Counsel	4	1	0	5
Capital	0	0	0	0
Finance	0	1	0	1
<b>Total</b>	<b>38</b>	<b>4</b>	<b>6</b>	<b>36</b>

## Cases by type New and Brought Forward



## Cases by source New and Brought Forward



## Significant closed cases

### Case 22-906 Allegation of cheque fraud

An employee at the London Transport Museum (LTM) reported that an unknown individual had attempted to obtain a refund on a cheque paid to the LTM for a function at the museum. In a series of emails to the LTM, the individual claimed that his business had sent a cheque for £83,260 in error, rather than the £9.6k required to pay for the function and requested an immediate refund. The cheque for £83,260 subsequently bounced and the attempted fraud was identified. No refund was made. A Counter-fraud & Corruption team investigation identified that the banking information and a company name, printed on the fraudulent cheque, did not relate to a valid account or customer. The case was reported to Action Fraud, who declined to disseminate the information to Law Enforcement. TfL did not suffer any loss from the attempted fraud and the case is now closed.