

Audit and Assurance Committee Forward Plan 2022/23**Appendix 1****Membership:** Mark Phillips (Chair), Anurag Gupta (Vice Chair), Kay Carberry CBE and Dr Mee Ling Ng OBE

Standing Items		
Risk and Assurance Quarterly Report	Director of Risk and Assurance	Quarterly
Elizabeth Line Programme Assurance Quarterly Report	Director of Risk and Assurance	Quarterly
Finance Control Environment Trend Indicators	Statutory Chief Finance Officer	Quarterly
Independent Investment Programme Advisory Group Quarterly Report	Director of Risk and Assurance	Quarterly
Register of Gifts and Hospitality	General Counsel	Quarterly
Report on Non-Audit Fees	Statutory Chief Finance Officer	Six Monthly
Legal Compliance Report	General Counsel	Six Monthly
Annual Tax Compliance Update	Statutory Chief Finance Officer	Annual

15 March 2023		
Integrated Assurance Plan	Director of Risk and Assurance	Annual
Critical Accounting Policies	Statutory Chief Finance Officer	Annual
Personal Data Disclosure to Police and Other Statutory Law Enforcement Agencies (2023)	Director of Security, Policing and Enforcement	Annual

June 2023		
EY Letter on Independence and Objectivity	EY	Annual
Annual Audit Fee 2023/24	Statutory Chief Finance Officer	Annual
Risk and Assurance Annual Report 2022/23	Director of Risk and Assurance	Annual
Review of Governance and the Annual Governance Statement	General Counsel	Annual

Audit and Assurance Committee Forward Planner 2022/23

September 2023		
TfL Statement of Accounts – Changes Made Prior to Finalisation	Statutory Chief Finance Officer	Annual
Effectiveness Review of the External Auditors	Statutory Chief Finance Officer	Annual
Annual Audit Letter	EY	Annual
Freedom of Information Update	General Counsel	Annual