

## Transport for London Audit and Assurance Committee

### Level 0 Risks

### Appendix 2

| <b>Risk No.</b> | <b>Risk</b>  | <b>Owner</b>                                 | <b>Panel and Committee</b>                         |
|-----------------|--|--|--|
| ER1             | Inability to deliver safety objectives and obligations       | Chief Safety, Health and Environment Officer | Safety, Sustainability and Human Resources Panel   |
| ER2             | Attraction, retention, wellbeing and health of our employees | Chief People Officer                         | Safety, Sustainability and Human Resources Panel   |
| ER3             | Environment including climate adaptation                     | Chief Safety, Health and Environment Officer | Safety, Sustainability and Human Resources Panel   |
| ER4             | Significant security incident                                | Chief Operating Officer                      | Audit and Assurance Committee                      |
| ER5             | Procurement including supply chain                           | Chief Finance Officer                        | Finance Committee                                  |
| ER6             | Deterioration of operational performance                     | Chief Operating Officer                      | Customer Service and Operational Performance Panel |
| ER7             | Financial Resilience   | Chief Finance Officer                        | Finance Committee                                  |
| ER8             | Delivery of key investment programmes and projects           | Chief Capital Officer                        | Programmes and Investment Committee                |
| ER9             | Changes in customer demand                                   | Chief Customer and Strategy Officer          | Finance Committee                                  |
| ER10            | Governance and control suitability                           | General Counsel                              | Audit and Assurance Committee                      |