

Audit and Assurance Committee Actions List (to be reported to the meeting on 30 November 2022)

Actions from the meeting held on 21 September 2022

Minute No.	Item/Description	Action By	Target Date	Status/Note
46/09/22 (1)	Risk and Assurance Quarter 1 Report 2022/23 Further details in future reports on any overdue management actions above 100 days, including Management action plans, to be provided.	Jill Elliot	30 November 2022 meeting.	Information included in report on agenda. Complete.
46/09/22 (2)	Further information on the Requires Improvement audits to be provided, including an update on the review of Cubic User Access Management controls.	Jill Elliot	30 November 2022 meeting.	Information included in report on agenda. Complete.
46/09/22 (3)	Clarification on whether fraud against TfL, through revenue activities, was increasing or decreasing and further information, following engagement with key stakeholders, to be provided at the next meeting.	Richard Mullings	15 March 2023 meeting.	In progress.
46/09/22 (4)	The Executive Committee to discuss the best approach to ransomware.	Jules Gascoigne	15 March 2023 meeting.	In progress.

Actions from previous meetings

Minute No.	Item/Description	Action By	Target Date	Status/Note
29/06/22 (1)	Risk and Assurance Annual Report Enterprise Risk 1 (Major safety, health or environmental incident or crisis) audits to be shared with the Chair of the Safety, Sustainability and Human Resources Panel.	Lorraine Humphrey	-	Ongoing.