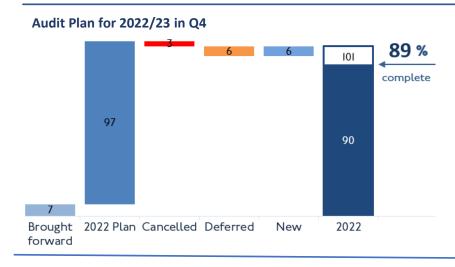
Appendix 7: Quality Safety Security Assurance Audit Q4 Summary



Key Highlights

The team achieved the year end target of 85% with minimal change to the annual plan. The outstanding audits will delivered in early Quarter 1 (Q1).

The plan for 2023/24 has been split into Q1-2 and Q3-4 to allow for review and alignment at mid-year.

There was one poorly controlled audit: 'Management of Civil Engineering Deep Tube Project Asset Data For New Assets' - see the main body of the report for full details. Actions have been agreed with the teams and are in progress.

Audit ratings by Chief Officer - last four quarters

	Poorly	Requires	Adequately	Well	Not
	Controlled	Improvement	Controlled	Controlle	Rated
Chief Capital Officer	1	2	1		
Chief Customer and Strategy Officer		2	9		
Chief Finance Officer			1		
Chief Operating Officer	6	8	19	8	31
Pan TfL		1			1
Total	7.8%	14.4%	33.3%	8.9%	35.6%

Action Management

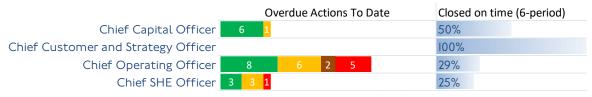
Open Audit Actions - Overall TfL Performance (6-Period trend)

Measure	No.	%	6-period rolling trend	3!	5	Overdue
No. Actions Closed on time	32	30%				Out Of
No. Actions Extended	17	20%		92	2	Open

Audit Rating By Enterprise Risk - last 4 Quarters



By Directorate



61-100 days

100+ days

31-60 davs

0-30 davs

Based on actions due in the last six periods