

Audit and Assurance Committee Forward Plan 2023/24**Appendix 1****Membership:** Mark Phillips (Chair), Anurag Gupta (Vice Chair), Kay Carberry CBE and Dr Mee Ling Ng OBE

Standing Items		
Financial Control Environment Trend Indicators	Statutory Chief Finance Officer	Quarterly
Risk and Assurance Quarterly Report	Director of Risk and Assurance	Quarterly
Elizabeth Line Programme Assurance Quarterly Report	Director of Risk and Assurance	Quarterly
TTL Properties Limited Assurance Update	Director of Risk and Assurance	Quarterly
Independent Investment Programme Advisory Group Quarterly Report	Director of Risk and Assurance	Quarterly
Register of Gifts and Hospitality	General Counsel	Quarterly

20 September 2023		
TfL Statement of Accounts – Changes Made Prior to Finalisation	Statutory Chief Finance Officer	Annual
Effectiveness Review of the External Auditors	Statutory Chief Finance Officer	Annual
EY Annual Audit Letter	EY	Annual
Freedom of Information Update	General Counsel	Annual

29 November 2023		
Legal Compliance Report	General Counsel	Six Monthly
Annual Tax Compliance	Statutory Chief Finance Officer	Annual
EY Non-Audit Fees	EY	Six Monthly
Enterprise Risk Update - Significant Security Incident (ER4)	Chief Operating Officer	Annual

Audit and Assurance Committee Forward Planner 2023/24

14 March 2024		
Critical Accounting Policies	Statutory Chief Finance Officer	Annual
Personal Data Disclosure to Police and Other Statutory Law Enforcement Agencies	Director of Security, Policing and Enforcement	Annual
Governance and Controls Suitability [ER10]	General Counsel	Annual
June 2024		
EY Letter on Independence and Objectivity	EY	Annual
EY Annual Audit Fee	EY	Annual
EY Report to Those Charged with Governance	EY	Annual
Risk and Assurance Annual Report and Assurance	Director of Risk and Assurance	Annual
TfL Annual Report and Statement of Accounts	Chief Finance Officer	Annual
Review of Governance and the Annual Governance Statement	General Counsel	Annual
Legal Compliance Report	General Counsel	Six Monthly