

---

## This paper will be considered in public

### 1 Summary

- 1.1 This paper provides an update on the project assurance work undertaken between 28 May and 19 August 2023 (Period 3 to 5 of 2023/24). Two programme reviews were undertaken during this time, with the Independent Investment Programme Advisory Group (IIPAG) involved in both. In the same timeframe 12 project assurance reviews were undertaken, with IIPAG involved in 10 of these.
- 1.2 These reviews gave rise to a total of 79 recommendations being made, of which six were considered to be critical issues. Critical issues are those that the TfL Project Assurance team or IIPAG believe should be addressed before projects proceed to the next stage.
- 1.3 A paper is included on Part 2 of the agenda which contains exempt supplementary information. The information is exempt by virtue of paragraphs 3 and 5 of Schedule 12A of the Local Government Act 1972 in that it contains information relating to the financial and business affairs of TfL. It also contains legally privileged advice. Any discussion of that exempt information must take place after the press and public have been excluded from the meeting.

### 2 Recommendation

- 2.1 **The Committee is asked to note the paper and the exempt supplementary information on Part 2 of the agenda.**

### 3 Background

- 3.1 This TfL Project Assurance update provides the Committee with a summary of the second line assurance, provided by TfL's Project Assurance team, and third line assurance, provided by IIPAG.

### 4 Summary of Project Assurance Activity

- 4.1 From the reviews undertaken TfL Project Assurance made 45 general recommendations and three critical issues, with all of these being agreed with the project teams concerned.
- 4.2 IIPAG made 28 general recommendations and raised three critical issues. The recommendations were all agreed with the respective project teams.

## 5 Status of IIPAG and Project Assurance Recommendations

5.1 The following graphs show the number of open recommendations, the number that are overdue, and the number closed at the end of Period 13 of 2022/23 (ending 31 March 2023), at the end of Period 2 of 2023/24 (ending 27 May 2023) and the end of Period 5 of 2023/24 (ending 19 August 2023).

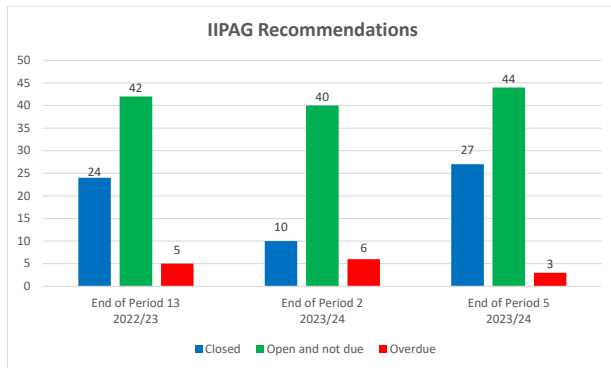


Figure 1: IIPAG Recommendations

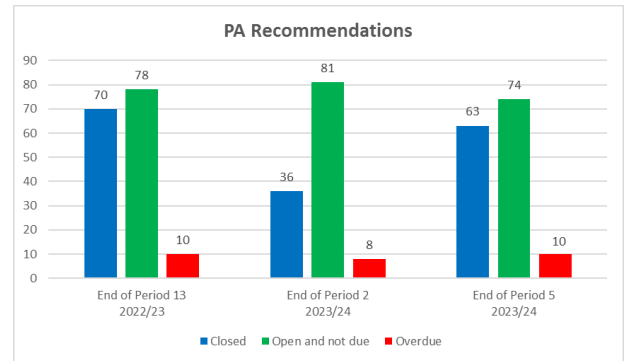


Figure 2: Project Assurance Recommendations

5.2 The following graphs show the length of time that the overdue Project Assurance and IIPAG recommendations have been overdue by, as at the end of Period 5, and the Chief Officer area responsible for addressing the recommendations.

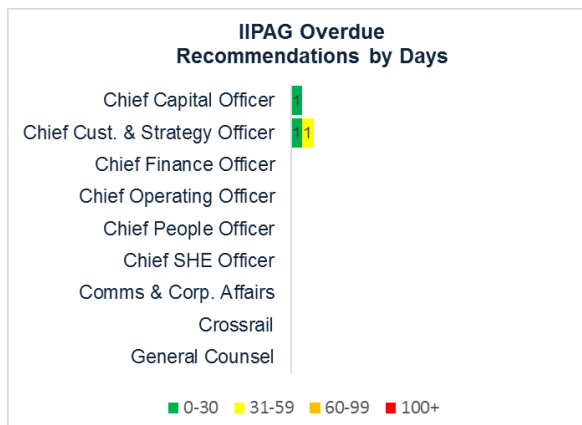


Figure 3: Overdue IIPAG Recommendations

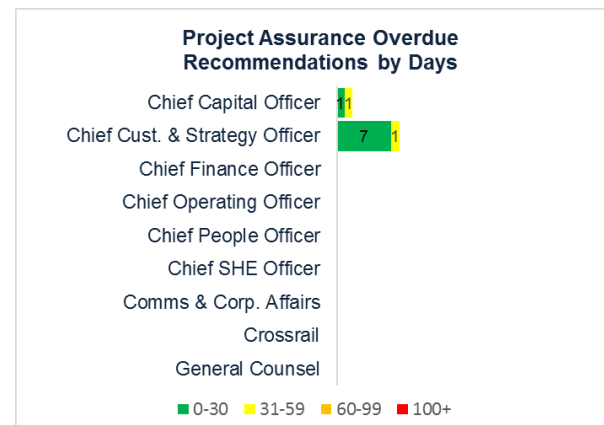


Figure 4: Overdue Project Assurance Recommendations

### List of appendices to this paper:

None

Exempt supplementary information is included in a paper on Part 2 of the agenda.

### List of background papers:

None

Contact Officer: Andrea Clarke, Interim General Counsel  
 Email: [AndreaClarke@tfl.gov.uk](mailto:AndreaClarke@tfl.gov.uk)