

**Audit and Assurance Committee Forward Plan 2024/25****Appendix 1****Membership:** Mark Phillips (Chair), Anurag Gupta (Vice Chair), Kay Carberry CBE, Cllr Ross Garrod and Dr Mee Ling Ng OBE

<b>Standing Items</b>		
Finance Control Environment Trend Indicators	Statutory Chief Finance Officer	Quarterly
Risk and Assurance Quarterly Report	Director of Risk and Assurance	Quarterly
Places for London Assurance Update	Director of Risk and Assurance	Quarterly
Independent Investment Programme Advisory Group Quarterly Report	Director of Risk and Assurance	Quarterly
Register of Gifts and Hospitality	General Counsel	Quarterly

<b>18 September 2024</b>		
TfL Annual Report and Statement of Accounts 2023/24 [subject to TfL Board delegation]	Statutory Chief Finance Officer	Annual
Effectiveness Review of the External Auditors	Statutory Chief Finance Officer	Annual
EY Annual Report	EY	Annual
Freedom of Information Update	General Counsel	Annual

<b>27 November 2024</b>		
Annual Tax Compliance Update	Statutory Chief Finance Officer	Annual
Auditor's Annual Report – Year Ended 31 March 2024	EY	Annual
EY Independence Letter – Non-Audit Services for Period 1 April – 31 October 2024	EY	Bi-annual
Enterprise Risk 4 Update – Significant Security Incident including Cyber Security (ER4)	Chief Operating Officer	Annual
Legal Compliance Report	General Counsel	Bi-annual

## Audit and Assurance Committee Forward Planner 2024/25

12 March 2025		
Critical Accounting Policies	Statutory Chief Finance Officer	Annual
Personal Data Disclosure to Police and Other Statutory Law Enforcement Agencies	Director of Security, Policing and Enforcement	Annual
Enterprise Risk 10 Update – Governance and Controls Suitability (ER10)	General Counsel	Annual

June 2025		
EY Letter on Independence and Objectivity	EY	Annual
EY Annual Audit Fee	EY	Annual
EY Report to Those Charged with Governance	EY	Annual
Risk and Assurance Annual Report and Assurance	Director of Risk and Assurance	Annual
TfL Annual Report and Statement of Accounts	Chief Finance Officer	Annual
Review of Governance and the Annual Governance Statement	General Counsel	Annual
Legal Compliance Report	General Counsel	Bi-annual