

## Appendix 1

### Legal and compliance matters reported from 1 October 2022 to March 2024.

	<b>1/10/2022 to 31/03/2023</b>	<b>1/4/2023 to 30/09/2023</b>	<b>1/10/23 to 31/03/2024</b>
(a) Prosecutions against TfL	0	1	0
(b) formal warnings or notices from the Health and Safety Executive (HSE), the Office of Rail and Road, the London Fire Commissioner, the Environment Agency, the Information Commissioner or the other Government agencies	11	9	17
(c) investigations by the Ombudsman	2	1	0
(d) alleged legal breaches notified by local authorities or other bodies	6	9	10
(e) judicial reviews	3	5	4
(f) involvement in inquests	10	9	9
(g) commercial/ contract claims in excess of £100,00	2	1	0
(h) personal Injury claims	230	205	276
(i) proceedings in relation to discrimination including on the grounds of race, sex, disability, age, religion or belief, sexual orientation, equal pay or breach of contract	89	89	73
(j) wrongful or unfair dismissal	47	45	51
(k) actions to recover unpaid debt in excess of £5,000	0	0	0
(l) breaches of procurement rules and/ or the Competition Act 1198	0	0	0