

Audit and Assurance Committee Forward Plan

Appendix 1

Membership: Mark Phillips (Chair), Keith Richards OBE (Vice Chair), Cllr Ross Garrod, Anurag Gupta, Deborah Harris-Ugbomah, Tanya Joseph and Marie Pye

Standing Items		
Finance Control Environment Trend Indicators	Statutory Chief Finance Officer	Quarterly
Risk and Assurance Quarterly Report	Director of Risk and Assurance/Head of Internal Audit	Quarterly
Places for London Assurance Update	Director of Risk and Assurance	Quarterly
Independent Investment Programme Advisory Group Quarterly Report	Director of Risk and Assurance	Quarterly
Register of Gifts and Hospitality	General Counsel	Quarterly

12 March 2025		
Critical Accounting Policies	Statutory Chief Finance Officer	Annual
Personal Data Disclosure to Police and Other Statutory Law Enforcement Agencies	Director of Security, Policing and Enforcement	Annual
Enterprise Risk Update – Governance and Controls Suitability (ER10)	General Counsel	Annual

June 2025		
EY Letter on Independence and Objectivity	EY	Annual
EY Annual Audit Fee	EY	Annual
EY Report to Those Charged with Governance	EY	Annual
Risk and Assurance Annual Report and Assurance Statement	Director of Risk and Assurance/Head of Internal Audit	Annual
TfL Annual Report and Statement of Accounts	Chief Finance Officer	Annual
Review of Governance and the Annual Governance Statement	General Counsel	Annual

Audit and Assurance Committee Forward Planner

12 March 2025		
Legal Compliance Report	General Counsel	Bi-annual
September 2025		
TfL Statement of Accounts – Changes Made Prior to Finalisation	Statutory Chief Finance Officer	Annual
Effectiveness Review of the External Auditors	Statutory Chief Finance Officer	Annual
EY Audit Results Report	EY	Annual
Freedom of Information Update	General Counsel	Annual