

## Appendix 1

### Legal and compliance matters reported from 1 October 2022 to March 2025

	1/10/2022 to 31/3/2023	1/4/2023 to 30/9/2023	1/10/2023 to 31/3/2024	1/4/2024 to 30/9/2024	1/10/2024 to 31/03/2025
(a) prosecutions against TfL	0	1	0	0	0
(b) formal warnings or notices from the Health and Safety Executive (HSE), the Office of Rail and Road, the London Fire Commissioner, the Environment Agency, the Information Commissioner or the other Government agencies	11	9	10	5	5
(c) investigations by the Ombudsman	2	1	0	6	3
(d) alleged legal breaches notified by local authorities or other bodies	6	9	10	6	8
(e) judicial reviews	3	5	4	3	1
(f) involvement in inquests	10	9	9	17	14
(g) commercial/ contract claims in excess of £100,00	2	1	0	1	0
(h) personal injury claims <sup>i</sup>	230	205	276	316	303
(i) proceedings in relation to discrimination including on the grounds of race, sex, disability, age, religion or belief, sexual orientation, equal pay or breach of contract	89	89	73	63	66
(j) wrongful or unfair dismissal	47	45	51	55	45
(k) actions to recover unpaid debt in excess of £5,000	0	0	0	0	3
(l) breaches of procurement rules and/ or the Competition Act 1998	0	0	0	0	0

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<sup>i</sup> (h) pre-pandemic personal injury claims for reporting period 01/04/2019 – 30/09/2019 were at 415 claims.