

Audit and Assurance Committee Forward Plan

Appendix 1

Membership: Mark Phillips (Chair), Keith Richards OBE (Vice Chair), Cllr Ross Garrod, Anurag Gupta, Deborah Harris-Ugbomah, Tanya Joseph and Marie Pye

Standing Items		
Financial Control Environment Trend Indicators	Chief Finance Officer	Quarterly
Risk and Assurance Quarterly Report	Director of Risk and Assurance	Quarterly
Places for London Assurance Update	Director of Risk and Assurance	Quarterly
Independent Investment Programme Advisory Group Quarterly Report	Director of Risk and Assurance	Quarterly
Register of Gifts and Hospitality for Members and Senior Staff	General Counsel	Quarterly

2 July 2025		
TfL Annual Report and Statement of Accounts	Statutory Chief Finance Officer	Annual
EY Letter on Independence and Objectivity	EY	Annual
EY Report to Those Charged with Governance	EY	Annual
Review of Governance and the Annual Governance Statement for Year Ended 31 March 2025	General Counsel	Annual

17 September 2025		
Effectiveness Review of the External Auditors	Chief Finance Officer	Annual
EY Audit Results Report – Year Ended 31 March 2025	EY	Annual
Freedom of Information Update	General Counsel	Annual
Finalisation of TfL's Statement of Accounts for Year Ending 31 March 2025	Chief Finance Officer	Annual
Draft Auditor's Annual Report – Year Ended 31 March 2025	EY	Annual

27 November 2025		
External Audit Plan TfL, TTL and Subsidiaries - Year Ending 31 March 2026	EY	Annual
Legal Compliance Report (1 April 2025 - 30 September 2025)	General Counsel	Bi-annual
Annual Tax Compliance Update	Chief Finance Officer	Annuals

Audit and Assurance Committee Forward Plan

4 March 2026		
Critical Accounting Policies	Statutory Chief Finance Officer	Annual
Personal Data Disclosure to Police and Other Statutory Law Enforcement Agencies	Director of Security, Policing and Enforcement	Annual
Enterprise Risk Update – Governance and Controls Suitability (ER10)	General Counsel	Annual